

**REPORT ON CORPORATE GOVERNANCE OF LISTING COMPANY  
(2016)**

To - **The State Securities Commission**  
- **Ho Chi Minh Stock Exchange**

- Name of listing company: **Hoang Anh Gia Lai Agricultural Joint Stock Company**
- Address of head office: 15 Truong Chinh, Phu Dong Ward, Pleiku city, Gia Lai province
- Telephone: 059.2222285      Fax: 059.2222218
- Charter capital: VND 7,671,438,950,000
- Securities code: HNG

**I. Activities of the General Meeting of Shareholders**

No.	Resolution	Date	Agenda
	1509/16/NQĐHĐCĐ-HAGL Agrico	15/09/2016	<ul style="list-style-type: none"> <li>- Approval of the BOD's report for the year 2015;</li> <li>- Approval of the plan of business and investment for the year 2016;</li> <li>- Approval of the audited financial statements for the year 2015;</li> <li>- Approval of selecting the Audit firm for the year 2016;</li> <li>- Approval of the loans given to the related parties;</li> <li>- Approval of the purpose of using the issuance capital of 59 million shares to purchase 100% of equity interest in Indochina Rubber Development and Investment;</li> <li>- Approval of the private placement plan;</li> <li>- Approval the report of the Board of Supervision for the year 2015;</li> <li>- Approval of the amendment and supplement of the Charter in accordance</li> </ul>

			with the Law on Enterprise 2014; - Approval of authorizing the BOD to implement the above issues.
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## II. Board of Directors

### 1. Information about the members of the Board of Directors (“BOD”)

No.	BOD's member	Position	Day becoming the member of the Board of Directors	Number of attendance	Percentage	Reasons for absence
1	Mr. Doan Nguyen Duc	Chairman	15/06/2010	07	100%	
2	Mr. Vo Truong Son	Member	04/04/2015	07	100%	
3	Mr. Doan Nguyen Thu	Member	04/04/2015	07	100%	
4	Mr. Nguyen Xuan Thang	Member	15/06/2010	07	100%	
5	Ms. Vo Thi Huyen Lan	Member	04/04/2015	07	100%	
6	Mr. Nguyen Ngoc Anh	Member	04/04/2015	07	100%	
7	Mr. Nguyen Quan Anh	Member	04/04/2015	07	100%	

### 2. Supervision by the BOD over the Board of Management:

In 2016, the BOD has instructed and supervised the activities of the Board of Management as follows:

- Completing the restructuring plan of the Company;
- Instructing and supervising the production and business activities of the Company to ensure the well protection and maintenance for the plantations of rubber tree, oil palm tree and sugar cane;
- Instructing and supervising the implementation of resolutions of the BOD, especially regarding to the management of the Company to improve the labor productivity, cost saving;
- Instructing and supervising the completion of private placement of 59,000,000 (fifty-nine millions) Company's shares for investors, the registration depository and additional listing of the new issued shares.
- Instructing and supervising the implementation of debt structure of the Company;
- Instructing and supervising the cash flow management, maintaining the liquidity of the Company.

### 3. Activities of the BOD's committees

The committees of the BOD have not been established.

#### 4. The resolutions of the BOD

No	Resolution No.	Date	Content
1	3101/16/NQHĐQT-HAGL Agrico	31/01/2016	Updating the business results at 12 December 2015
2	2005/16/NQHĐQT-HAGL Agrico	20/05/2016	Improving the management of the Company
3	0407/16/NQHĐQT-HAGL Agrico	04/07/2016	Selecting the audit firm for the year of 2016
4	0208/NQHĐQT-HAGL Agrico	02/08/2016	Changing the Chief Accountant of the Company
5	0608/16/NQHĐQT-HAGL Agrico	06/08/2016	Deciding on the record date of shareholder list.
6	1908/16/NQHĐQT-HAGL Agrico	19/08/2016	Updating the business and investment for the first 6 months of 2016.
7	0212/2016/NQ-HĐQT-HAGL Agrico	02/12/2016	Apoining the Director of the Company's subsidiary

### III. The Board of Supervision

#### 1. Information about members of the Board of Supervision

No	Members of the Board of Supervision	Position	Becoming the member of the Board of Supervision	Number of attendance	Percentage	Reasons for absence
1	Mr. Le Hong Phong	Head	04/04/2015	03	3/3	
2	Mr. Pham Ngoc Chau	Member	04/04/2015	03	3/3	
3	Ms. Dao Dinh Phuong	Member	04/04/2015	02	2/3	Resigned on 19 November 2016

On 5 November 2016, Hoang Anh Gia Lai Agricultural Joint Stock Company received a resignation letter tendered by Ms.Dao Dinh Phuong, the member of the Board of Supervision, requesting to resign from her position as member of the Board of Supervision from 19 November 2016.

## **2. Supervisory activities of the Board of Supervision toward the BOD, Board of Management and shareholders**

- Reviewing the appropriateness of the resolutions and decisions made by the BOD and the Board of Management of the Company to ensure the compliance with the regulations of the Law and the Charter of the Company;
- Supervising the business activities and financial situation of the Company;
- Evaluating the quarterly financial statements, interim financial statements;
- Supervising the execution, the information announcement of the Company in accordance with the regulations of the Securities Laws and other relevant legal documents

## **3. The coordination among the Board of Supervision with the BOD, the Board of Management, and other managers:**

- The Board of Supervision has been received the close coordination by the BOD through getting full information related to the business operations, corporate governance in the meetings of the BOD. The BOD also takes note of the comments of the Board of Supervision to make the optimal decisions on the benefits to the Company in line with the regulations of the Laws;
- In the process of supervising the implementation of the Company's activities, the Board of Supervision has been provided the information as requested in time and facilitated by the BOD and other managers to complete the tasks.

## **4. Other activities of the Board of Supervision**

- Discussing with the independent auditors on the audited financial statements.

## **IV. Training on corporate governance**

The training courses on corporate governance which members of the BOD and the Board of Supervision, the General Director, other managers and the Secretary of the Company were involved in accordance with regulations on corporate governance.

The members of the BOD and the Board of Management have attended and obtained the Certificates of training courses on corporate governance for the Public Joint Stock Company. 4/7 of members of the BOD and 2/7 of members of the Board of Management have been granted the Certificates.

In the next time, the Company is going to arrange for the members of the BOD, the Board of Supervision and the Board of Management, managers and the Secretary of the Company to take part in the training courses on corporate governance

## **V. List of affiliated persons of the public company as specified in clause 34, Article 6 of the Securities Law and transactions of affiliated persons of the Company with the Company**

- 1. List of affiliated persons:** as Appendix 1 enclosed
- 2. Transactions between the Company and the affiliated persons or between the Company and major shareholders, internal persons and related person of internal person.**  
None
- 3. Transaction between internal person of the listing company and company's subsidiaries, or the Company in which the listing company takes controlling power**

None

#### 4. Transactions between the Company and other objects

4.1. Transactions between the Company and the Company that members of the BOD and the Board of Supervision, the General Director have been founding members or members of the BOD, the General Director in recent three (03) years.

None

4.2. Transactions between the Company and the Company that the related person of members of the BOD, members of the Board of Supervision, the General Director as a member of the BOD, the General Director.

None

4.3. Other transactions of the Company (if any) may be beneficial material or immaterial for members of the BOD, members of the Board of Supervision, the General Director.

None

#### VI. Transactions of internal persons and related person of internal person

##### 1. List of internal persons and their affiliated persons

*As per the Appendix 2 enclosed*

##### 2. Transactions of internal persons and affiliated persons on shares of the listing company

No.	Transaction executor	Relationship with internal person	Number of shares owned at the beginning of the period		Number of shares owned at the end of the period		Reasons for increase decrease (purchase, sale, switch, reward...)
			Number of shares	Percentage	Number of shares	Percentage	
01	Vo Truong Son – Member of the BOD		0	0%	500,000	0,07%	Purchase
02	Vo Thi My Hanh – Deputy Director, in charge of information announcement		5,000	0.0001%	5,000	0.001%	Purchase and sell 4,550 shares
03	Hoang Anh Gia Lai Joint Stock Company - holding company		563,106,700	73.4%	540,458,340	70.45%	sell the pledged securities

	<b>Total</b>		<b>563,111,700</b>		<b>540,963,340</b>		
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**VII. Other significant issues**

None

**HOANG ANH GIA LAI AGRICULTURAL JOINT STOCK COMPANY**

**CHAIRMAN OF THE BOD**

*(signed and sealed)*

**DOAN NGUYEN DUC**

**Appendix 1**

**LIST OF AFFILIATED PERSONS OF HOANG ANH GIA LAI AGRICULTURAL JSC**

*Enclosed with the Report on Governance Report for the year 2016, No. 2301/17/CV-HAGL Agrico dated 23 January 2017*

No.	Name of organization/individual	Securities trading account (if any)	Position at the company (if any)	NSH No.	Date of issue	Place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons
1	Doan Nguyen Duc		Chairman of the BOD					15/06/2010		
2	Vo Truong Son		Member of the BOD					04/04/2015		
3	Doan Nguyen Thu		Member of the BOD					04/04/2015		
4	Nguyen Xuan Thang		Member of the BOD cum the General Director					15/06/2010		
5	Vo Thi Huyen Lan		Member of the BOD					04/04/2015		
6	Nguyen Ngoc Anh		Member of the BOD cum the Deputy General Director					04/04/2015		
7	Nguyen Quan Anh		Member of the BOD cum the Deputy General Director					04/04/2015		
8	Trinh Xuann Nhan		Deputy General Director					04/04/2015		
9	Phan Thanh Thu		Deputy General Director					04/04/2015		
10	Hoang Dinh Quy		Deputy General Director					04/04/2015		
11	Vo Thi My Hanh		Deputy General Director, authorized person for announcing information					04/04/2015		
12	Le Hong Phong		Head of Board of Supervision					04/04/2015		
13	Pham Ngoc Chau		Member of Board of Supervision					04/04/2015		
14	Dao Dinh Phuong		Member of Board of Supervision					04/04/2015	19/11/2016	
15	Do Van Hai		Chief Accountant					04/04/2015	2/8/2016	
16	Ho Thi Tuyet Loan		Chief Accountant					08/02/2016		
17	Hoang Anh Gia Lai JSC		Holding Company	5900377720	01/06/2006	Department of Planning and Investment of Gia Lai Province	15 Truong Chinh, Phu Dong Ward, Pleiku City, Gia Lai Province	26/05/2010		
18	Hoang Anh Quang Minh Rubber Industrial and Agricultural Co., Ltd..		Subsidiary	418/DDK	24/05/2016	Ministry of Industry and Commerce of Laos	Attapeu Province, Laos	26/05/2010		
19	Hoang Anh Quang Minh JSC		'Subsidiary	5900405470	01/02/2007	Department of Planning and Investment of Gia Lai Province	15 Truong Chinh, Phu Dong Ward, Pleiku City, Gia Lai Province	26/05/2010		
20	Hoang Anh Dak Lak JSC		'Subsidiary	6000642921	17/05/2007	Department of Planning and Investment of Dak Lak Province	40 Hung Vuong, Tu An Ward, Buon Me Thuot City, Dak Lak Province	26/05/2010		

No.	Name of organization/individual	Securities trading account (if any)	Position at the company (if any)	NSH No.	Date of issue	Place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons
21	Hoang Anh Attapeu Agricultural Development Co., Ltd.		'Subsidiary	370/ĐBK	11/05/2016	Ministry of Industry and Commerce of Laos	Attapeu Province, Laos	26/05/2010		
22	Hoang Anh Rattanakiri Co., Ltd.		'Subsidiary	7001 PN.CBD	23/11/2012	Ministry of Commerce of Cambodia	Rattanakiri Province, Cambodia	26/05/2010		
23	Ban Me Rubber JSC		'Subsidiary	6000968200	15/01/2010	Department of Planning and Investment of Dak Lak Province	40 Hùng Vương, Phường Tự An, Tp. Buôn Ma Thuật, Tỉnh Đắk Lắk	26/05/2010		
24	Heng Brothers Co., Ltd.		'Subsidiary	2828 TM.ĐKD	10/05/2013	Ministry of Commerce of Cambodia	Rattanakiri Province, Cambodia	26/05/2010		
25	Hoàng Anh Oyadav Co., Ltd.		'Subsidiary	0904	27/01/2015	Ministry of Commerce of Cambodia	Rattanakiri Province, Cambodia	16/07/2010		
26	CRD Co., Ltd.		'Subsidiary	4255	29/05/2014	Ministry of Commerce of Cambodia	Rattanakiri Province, Cambodia	26/05/2010		
27	Hoang Anh Gia Lai Sugar cane JSC		'Subsidiary	5900764381	26/01/2011	Department of Planning and Investment of Gia Lai Province	15 Trương Chinh, Phu Dong Ward, Pleiku City, Gia Lai Province	26/01/2011		
28	Hoang Anh Andongmeas Co., Ltd.		'Subsidiary	MOC-39751851	07/11/2012	Ministry of Commerce of Cambodia	Rattanakiri Province, Cambodia	17/02/2011		
29	Hoang Anh Attapeu Sugarcane Co., Ltd.		'Subsidiary	378/ĐBK	12/05/2016	Ministry of Industry and Commerce of Laos	Attapeu Province, Laos	01/11/2011		
30	Hoang Anh Gia Lai Import - Export Trading One Member Company.		'Subsidiary	5900955964	05/02/2013	Department of Planning and Investment of Gia Lai Province	859 Trương Chinh, Chi Lang Ward, Pleiku City, Gia Lai Province	05/02/2013		
31	Highland Dairy Cattle JSC		'Subsidiary	5900985126	28/04/2014	Department of Planning and Investment of Gia Lai Province	15 Trương Chinh, Phu Dong Ward, Pleiku City, Gia Lai Province	27/04/2015		
32	Daunpenh JSC		'Subsidiary	5900981604	13/03/2014	Department of Planning and Investment of Gia Lai Province	859 Trương Chinh, P.Chi Lăng, Tp. Pleiku, Tỉnh Gia Lai	14/10/2015		
33	Andongmeas JSC		'Subsidiary	5900910258	10/07/2012	Department of Planning and Investment of Gia Lai Province	20 Phan Bội Châu, Phường Hội Thương, Tp. Pleiku, Tỉnh Gia Lai	15/10/2015		
34	Hoang Anh Lumphat Co., Ltd.		'Subsidiary	4535	06/06/2014	Ministry of Commerce of Cambodia	Rattanakiri Province, Cambodia	15/10/2015		
35	Daunpenh Agrico Co., Ltd.		'Subsidiary	7627	06/07/2015	Ministry of Commerce of Cambodia	Rattanakiri Province, Cambodia	14/10/2015		



No.	Name of organization/individual	Securities trading account (if any)	Position at the company (if any)	NSH No.	Date of issue	Place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons
36	Indochina Rubber Investment and Development Co., Ltd.		'Subsidiary	0311181334	23/09/2011	Department of Planning and Investment of Ho Chi Minh City	11D Phan Ke Binh, Da Kao Ward, District 1, HCM City	03/03/2016		
37	Eastern Europe Rubber Investment Co., Ltd.		'Subsidiary	0312835734	03/07/2014	Department of Planning and Investment of Ho Chi Minh City	72 LeThanh Ton, Ben Nghe Ward, District 1, Ho Chi Minh City	03/03/2016		
38	Eastern Rubber Co., Ltd.		'Subsidiary	0546	17/01/2014	Ministry of Commerce of Cambodia	Kratie Province, Cambodia	03/03/2016		
39	Binh Phuoc Kratie 2 Rubber Co., Ltd.		'Subsidiary	0590	20/01/2014	Ministry of Commerce of Cambodia	Kratie Province, Cambodia	03/03/2016		
40	Suvann Vuthy Co., Ltd		'Subsidiary	9066 MOC/D/REG	12/11/2014	Ministry of Commerce of Cambodia	Kratie Province, Cambodia	03/03/2016		
41	Trung Nguyen Rubber JSC		'Subsidiary	5900189156	18/02/2008	Department of Planning and Investment of Gia Lai Province	15 Truong Chinh, Phu Dong Ward, Pleiku City, Gia Lai Province	9/12/2016		

**Appendix 2**

**LIST OF INTERNAL AND RELATED PERSON OF INTERNAL PERSON AT HOANG ANH GIA LAI AGRICULTURAL JSC**

*(Enclosed with the corporate governance report of 2016, No 2301/17/ CV HAGL Agrico 23/1/2017)*

No	Name of Organization/Individual	Securities trading account (if any)	Position at the Company (if any)	ID/Business Registration Certificate No	Date of issue	Place of issue	Address	Shareholding at the end of term	Percentage of shareholding at the end of term	Note
1	Doan Nguyen Duc		CHAIRMAN OF THE BOD							
1.1	Hoang Thi Ngoc Bich		Spouse							
1.2	Doan Hoang Anh		Daughter							
1.3	Doan Hoang Nam		Son							
1.4	Doan Hoang Nam Anh		Son							
1.5	Doan Nguyen Loc		Elder brother							
1.6	Doan Thi Nguyen Dung		Younger sister							
1.7	Doan Nguyen Ngon		Younger brother							
1.8	Doan Thi Nguyen Vinh		Younger sister							
1.9	Doan Nguyen Thinh		Younger brother							
1.10	Doan Thi Nguyen Xuan		Younger sister							
1.11	Doan Nguyen Thu		Younger brother							
1.12	Doan Thi Nguyen Thao		Younger sister							
1.13	Doan Thi Nguyen Nguyen		Younger sister							
1.14	Doan Tien Quyet		Father							

No	Name of Organization/Individual	Securities trading account (if any)	Position at the Company (if any)	ID/Business Registration Certificate No	Date of issue	Place of issue	Address	Shareholding at the end of term	Percentage of shareholding at the end of term	Note
1.15	Nguyen Thi Thom		Mother							
1.16	Hoang Anh Gia Lai JSC		Related organization	5900377720	06/01/2006	Department of Planning and Investment of Gia Lai Province	15 Truong Chinh, Phu Dong ward, Pleiku city, Gia Lai province	540,458,340	70.45%	
<b>2</b>	<b>Vo Truong Son</b>		<b>Member of BOD</b>					<b>500,000</b>	<b>0.07%</b>	
2.1	Lam Hoang Yen		Spouse							
2.2	Vo Hoang Long		Son							
2.3	Vo Hoang Yen Nhi		Daughter							
2.4	Vo Thanh Loc		Younger brother							
2.5	Vo Thi Kim Phuong		Younger sister							
2.6	Co Thi Tham Thuy Hang		Younger sister							
2.7	Vo Thi Be Sau		Younger sister							
2.8	Vo Thanh Tung		Younger brother							
2.9	Vo Ngoc Du		Father							
2.10	Tran Thi Tham		Mother							
2.11	Hoang Anh Gia Lai JSC		Related organization	5900377720	01/06/2006	Department of Planning and Investment of Gia Lai province	15 Truong Chinh, Phu Dong ward, Pleiku city, Gia Lai province	540,458,340	70.45%	
<b>3</b>	<b>Doan Nguyen Thu</b>		<b>Member of BOD</b>							

No	Name of Organization/Individual	Securities trading account (if any)	Position at the Company (if any)	ID/Business Registration Certificate No	Date of issue	Place of issue	Address	Shareholding at the end of term	Percentage of shareholding at the end of term	Note
3.1	Nguyen Thi Huynh Le		Spouse							
3.2	Doan Nguyen Le Khanh		Daughter							
3.3	Doan Nguyen Khoi		Son							
3.4	Doan Nguyen Le Khanh		Daughter							
3.5	Doan Nguyen Loc		Elder brother							
3.6	Doan Nguyen Duc		Elder brother							
3.7	Doan Thi Nguyen Dung		Elder sister							
3.8	Doan Nguyen Ngon		Elder brother							
3.9	Doan Thi Nguyen Vinh		Elder sister							
3.10	Doan Nguyen Thinh		Elder brother							
3.11	Doan Thi Nguyen Xuan		Elder sister							
3.12	Doan Thi Nguyen Thao		Younger sister							
3.13	Doan Thi Nguyen Nguyen		Younger sister							
3.14	Doan Tien Quyet		Father							
3.15	Nguyen Thi Thom		Mother							
3.16	Hoang Anh Gia Lai JSC		Related organization	5900377720	01/06/2006	Department of Planning and Investment of Gia Lai province	15 Truong Chinh, Phu Dong ward, Pleiku city, Gia Lai province	540,458,340	70.45%	

No	Name of Organization/Individual	Securities trading account (if any)	Position at the Company (if any)	ID/Business Registration Certificate No	Date of issue	Place of issue	Address	Shareholding at the end of term	Percentage of shareholding at the end of term	Note
3.17	Hoang Anh Gia Lai Wooden Furniture JSC		Related organization	5900639165	28/09/2009	Department of Planning and Investment of Gia Lai province	15 Truong Chinh, Phu Dong ward, Pleiku city, Gia Lai province			
<b>4</b>	<b>Nguyen Xuan Thang</b>		<b>Member of BOD</b>					<b>5,000</b>	<b>0.001%</b>	
4.1	Phan Thi Thom		Spouse							
4.2	Nguyen Thi Xuan Thao		Daughter							
4.3	Nguyen Thi Huyen Chi		Daughter							
4.4	Nguyen Thang Dat		Son							
4.5	Nguyen Thi Thu Thuy		Elder sister							
4.6	Nguyen Thi Kim Thinh		Younger sister							
4.7	Nguyen Xuan Thanh		Father							
4.8	Nguyen Thi Hong		Mother							
4.9	Hoang Anh Gia Lai JSC		Related organization	5900377720	01/06/2006	Department of Planning and Investment of Gia Lai Province	15 Truong Chinh, Phu Dong ward, Pleiku city, Gia Lai province	540,458,340	70.45%	
<b>5</b>	<b>Vo Thi Huyen Lan</b>		<b>Member of BOD</b>							
5.1	Ngo Long Binh		Spouse							
5.2	Ngo Quy Ha Giang		Daughter							
5.3	Ngo Hoang Khanh Duong		Son							

No	Name of Organization/Individual	Securities trading account (if any)	Position at the Company (if any)	ID/Business Registration Certificate No	Date of issue	Place of issue	Address	Shareholding at the end of term	Percentage of shareholding at the end of term	Note
5.4	Vo Thi Huyen Phuong		Elder sister							
5.5	Vo Thi Huyen Linh		Elder sister							
5.6	Vo Thi Huyen Chau		Younger sister							
5.7	Vo Thi Huyen Tran		Younger sister							
5.8	Vo Van Giai		Father							
5.9	Pham Thi To		Mother							
5.10	Hoang Anh Gia Lai JSC		Related organization	5900377720	01/06/2006	Department of Planning and Investment of Gia Lai Province	15 Truong Chinh, Phu Dong ward, Pleiku city, Gia Lai province	540,458,340	70.45%	
5.11	Long Hau JSC		Related organization	1100727545	03/07/2014	Department of Planning and Investment of Long An Province	Long Hau commune, Can Giuoc district, Long An province			
5.12	Hiep Phuoc Industrial Park JSC		Related organization	0305046979	08/01/2015	Department of Planning and Investment of Ho Chi Minh City	Hamlet 1, Long Thoi commune, Nha Be district, Ho Chi Minh city			
5.13	Agrex Saigon Foodstuffs JSC		Related organization	0301042973	27/08/2009	Department of Planning and Investment of Ho Chi Minh City	58 Vo Van Tan, ward 6, district 3, Ho Chi Minh city			
5.14	Viet Au JSC		Related organization	0303387959	15/07/2004	Department of Planning and Investment of Ho Chi Minh City	36 Trinh Dinh Thao, Hoa Thanh ward, Tan Phu district, Ho Chi Minh city			
5.15	Jaccar Holdings		Related organization	0309090538	04/07/2009	Department of Planning and Investment of Ho Chi Minh City	29 Le Duan street, Ben Nghe ward, district 1, Ho Chi Minh city			
6	Nguyen Ngoc Anh		<b>Member of BOD, Deputy General Director</b>							

No	Name of Organization/Individual	Securities trading account (if any)	Position at the Company (if any)	ID/Business Registration Certificate No	Date of issue	Place of issue	Address	Shareholding at the end of term	Percentage of shareholding at the end of term	Note
6.1	Nguyen Thi Hieu Thao		Spouse							
6.2	Nguyen Thi Hieu Thuong		Daughter							
6.3	Nguyen Quoc An Chinh		Son							
6.4	Nguyen Thi Hieu Thuy		Daughter							
6.5	Nguyen Thi Hong		Elder sister							
6.6	Nguyen Thi Tuyet		Younger sister							
6.7	Nguyen Thi Hiep		Younger sister							
6.8	Nguyen Ngoc Chau		Father							
6.9	Nguyen Thi Dung		Mother							
6.10	Hoang Anh Gia Lai Sugarcane JSC		Related organization	5900764381	26/01/2011	Department of Planning and Investment of Gia Lai Province	15 Truong Chinh, Phu Dong ward, Pleiku city, Gia Lai province			
6.11	Hoang Anh Attapeu Sugarcane Co.,Ltd.		Related organization	3979/PĐK	01/11/2011	Ministry of Industry and Commerce of Laos	Nasuak, Phuvong district, Attapeu province, Laos			
<b>7</b>	<b>Nguyen Quan Anh</b>		<b>Member of BOD, Deputy General Director</b>					<b>5,000</b>	<b>0.001%</b>	
7.1	Ho Thi Viet		Spouse							
7.2	Nguyen Thi Le Vi		Daughter							
7.3	Nguyen Trung Nguyen		Son							

No	Name of Organization/Individual	Securities trading account (if any)	Position at the Company (if any)	ID/Business Registration Certificate No	Date of issue	Place of issue	Address	Shareholding at the end of term	Percentage of shareholding at the end of term	Note
7.4	Nguyen Ho Trung Kien		Son							
7.5	Nguyen Hong Phuong		Elder brother							
7.6	Nguyen Van Chan		Elder brother							
7.7	Nguyen Thi Lan		Elder sister							
7.8	Nguyen Thi Can		Elder sister							
7.9	Nguyen Bay		Father							
7.10	Huynh Thi Yen		Mother							
7.11	Daun Penh Agrico Co.,Ltd.		Related organization	7627	06/07/2015	Ministry of Commerce of Cambodia	Rattanakiri province, Campuchia			
<b>8</b>	<b>Trinh Xuan Nhan</b>		<b>Deputy General Director</b>							
8.1	Nguyen Thi Kim Cuc		Spouse							
8.2	Trinh Nu Linh Giang		Daughter							
8.3	Trinh Nu Thi Nguyen		Daughter							
8.4	Trinh Xuin Van		Younger brother							
8.5	Trinh Thi Ngoc Han		Younger sister							
8.6	Trinh Thi Kieu Hanh		Younger sister							
8.7	Trinh Thi Thu Ha		Younger sister							
8.8	Trinh Thi Thanh Hoa		Younger sister							
8.9	Trinh Xuan Anh		Younger brother							
8.10	Trinh Ngan		Father							



No	Name of Organization/Individual	Securities trading account (if any)	Position at the Company (if any)	ID/Business Registration Certificate No	Date of issue	Place of issue	Address	Shareholding at the end of term	Percentage of shareholding at the end of term	Note
8.11	Doàn Thi Thoa		Mother							
<b>9</b>	<b>Phan Thanh Thu</b>		<b>Deputy General Director</b>							
9.1	Nguyen Thi Hoa		Spouse							
9.2	Phan Thi Lieu Thi		Daughter							
9.3	Phan Duy		Son							
9.4	Phan Vu		Elder brother							
9.5	Phan Thanh Hieu		Younger brother							
9.6	Phan Thi Anh Nguyet		Younger sister							
9.7	Phan Thanh Phong		Younger brother							
9.8	Phan Thanh Son		Younger brother							
9.9	Phan Thanh Thuy		Younger sister							
9.10	Phan Thanh Long		Younger brother							
9.11	Phan Bieu		Father							
9.12	Tran Thi Sau		Mother							
9.13	Hoang Anh Gia Lai JSC		Related organization	5900377720	01/06/2006	Department of Planning and Investment of Gia Lai Province	15 Truong Chinh, Phu Dong ward, Pleiku city, Gia Lai province	540,458,340	70.45%	
<b>10</b>	<b>Hoang Dinh Quy</b>		<b>Deputy General Director</b>					<b>1,000</b>	<b>0.0001%</b>	
10.1	Pham Thi Hong Van		Spouse							
10.2	Hoang Dinh Nam		Son							

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10.3	Hoang Dinh Hai		Son							
10.4	Hoang Dinh Cuu		Elder brother							
10.5	Hoang Dinh Hong		Elder brother							
10.6	Hoang Khai		Father							
10.7	Tran Thi Chut		Mother							
10.8	Hoang Anh - Quang Minh Industry & Agriculture Rubber Co.,Ltd.		Related organization	418/DĐK	24/05/2016	Ministry of Industry and Commerce of Laos	Attapeu province, Laos			
<b>11</b>	<b>VoThi My Hanh</b>		<b>Deputy General Manager, who is authorized to disclose information</b>					5,000	<b>0.0007%</b>	
11.1	Le Dai Quang		Spouse							
11.2	Vo Dinh Phuc		Younger brother							
11.3	Vo Thi Kim Thuy		Younger sister							
11.4	Vo Van Dung		Father							
11.5	Huynh Thi Hong		Mother							
11.6	Hoang Anh Gia Lai Wooden Furniture JSC		Related organization	5900639165	28/09/2009	Gia Lai Department of Planning and Investment	15 Truong Chinh, Phu Dong ward, Pleiku city, Gia Lai province			
11.7	HAGL Medicine and Pharmacy University Hospital.		Related organization	5900461059-003	28/4/2011	Gia Lai Department of Planning and Investment	238 Le Duan, Tra Ba ward, Pleiku city, Gia Lai province			

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12	<b>Le Hong Phong</b>		<b>Head of Board of Supervision</b>							
12.1	Bui Thi Ngoc Anh		Spouse							
12.2	Le Hong Ngoc		Daughter							
12.3	Le Phuc Binh		Son							
12.4	Le Hong Anh		Elder brother							
12.5	Le Hong Thuy		Father							
12.6	Đo Thi Tuyet Nhung		Mother							
12.7	Eastern Europe Rubber Investment Company Limited		Related organization	0312835734	03/07/2014	Department of Planning and Investment of Ho Chi Minh City	72 Le Thanh Ton, Ben Nghe ward, district 1, HCM city			
13	<b>Pham Ngoc Chau</b>		<b>Member of Board of Supervision</b>							
13.1	Nguyen Thi Thu Lan		Spouse							
13.2	Pham Nhat Duy		Son							
13.3	Pham Phuc Duy		Son							
13.4	Pham Van Hung		Elder brother							
13.5	Pham Thi Nhu Hoa		Elder sister							
13.6	Pham Phuong Thao		Younger sister							
13.7	Pham Phuong Nam		Younger brother							

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13.8	Pham Van Net		Father							
13.9	Nguyen Thi Canh		Mother							
<b>14</b>	<b>Ho Thi Tuyet Loan</b>		<b>Chief Accountant</b>							
14.1	Tran Viet Tra		Spouse							
14.2	Ho Sy Khanh		Elder Brother							
14.3	Ho Sy Khai		Elder Brother							
14.4	Ho Tung		Father							
14.5	Pham Thi Lac		Mother							
14.6	Tran Gia Huy		Son							
14.7	Tran Chi Dinh		Son							